

FORM PTO-1390
REV. 10/2003US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

P05,0173

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/535332

INTERNATIONAL APPLICATION NO.

PCT/DE03/03457

INTERNATIONAL FILING DATE

October 17, 2003

PRIORITY DATE CLAIMED

November 18, 2002

TITLE OF INVENTION

**METHOD FOR THE PRODUCTION OF AND PROTECTIVE
LAYER FOR A LAYER OF LUMINESCENT MATERIAL**

APPLICANT(S) FOR DO/EO/US

Manfred Fuchs, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ have been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment and 1 Replacement Drawing Sheet (Figs. 1 and 2).
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification and Marked Substitute Specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - a. ☒ Submission of Drawings:
 - b. ☒ EXPRESS MAIL # EV 635785172 US

JC20 Rec'd PCT/PTO 18 MAY 2005

U.S. APPLICATION NO. 10/535332 (37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO. PCT/DE03/03457		ATTORNEY'S DOCKET NUMBER P05,0173	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492 (a) (1) - (5): Small Entity International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$1,000.00 500.00 <p align="center">ENTER APPROPRIATE BASIC FEE AMOUNT =</p>				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Small Entity	
Total Claims	13		X \$50.00	25.00	
Independent Claims	2		X \$200.00	100.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$300.00+		
TOTAL OF ABOVE CALCULATIONS =				\$1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =				\$1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	0
TOTAL NATIONAL FEE =				\$1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
TOTAL FEES ENCLOSED =					
				Amount to be refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1,000.00 and a check in the amount of \$40.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519 . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Schiff Hardin LLP Patent Department 6600 Sears Tower Chicago, Illinois 60606-6473 Customer Number 26574			<div style="text-align: center;"> </div> <div style="text-align: center;"> SIGNATURE </div> <div style="text-align: center; margin-top: 10px;"> Mark Bergner </div> <div style="text-align: center;"> NAME </div> <div style="text-align: center; margin-top: 10px;"> 45,877 </div> <div style="text-align: center;"> REGISTRATION NUMBER </div>		

10/535332

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CERTIFICATE OF MAILING BY U.S. EXPRESS MAIL

"Express Mail" EV 635785172US

Date of Deposit: May 18, 2005

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Case Number: P05,0173

**International Application No.
PCT/DE03/03457**

**International Filing Date
October 17, 2003**

**Priority Date Claimed
November 18, 2002**

Title: "Method for the Production of and Protective Layer for a Layer of Luminescent Material"

Applicant(s): Manfred Fuchs, et al.

**Executed Assignment, PTO 1595 form, \$40.00
filing fee, postcard, in attached envelope to be
forwarded to the Assignment Branch—MAIL STOP PCT.**



Signature of person mailing documents and fee

10/535332

JC20 Rec'd PCT/PTO 18 MAY 2005

Siemens AG
New PCT Application
Case No. P05,0173 (26965-3415)
Client Ref. No. 2002P10408 WOUS
Inventor: Fuchs et al.

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10 Translation / 3 May 2005 / Bullock / 2380 words